REQUESTED COVERAGE – OUTPATIENT CLINIC / MEDICAL SPA COMBO

☐ Requesting Professional Liability:
  Requested Retro Date: _________

  Professional Liability Limits
  $100,000 / $300,000
  $200,000 / $600,000
  $250,000 / $750,000
  $500,000 / $1,500,000
  Other: _______________

  Professional Liability Deductible
  $2,500 / $15,000
  $5,000 / $20,000
  $7,500 / $25,000
  $10,000 / Other: _______________

☐ Requesting General Liability:
  Requested Retro Date: _________ or ☐ Occurrence Based Coverage

  General Liability Limits
  $100,000 / $300,000
  $200,000 / $600,000
  $250,000 / $750,000
  $500,000 / $1,500,000
  Other: _______________

  General Liability Deductible
  $2,500 / $15,000
  $5,000 / $20,000
  $7,500 / $25,000
  $10,000 / Other: _______________

☐ Requesting Employee Benefits Liability (supplement required):
  Requested Retro Date: _________

  Employee Benefits Liability Limits
  $100,000 / $300,000
  $200,000 / $600,000
  $250,000 / $750,000
  $500,000 / $1,500,000
  Other: _______________

  Employee Benefits Liability Deductible
  $1,000 / $10,000
  $2,500 / $15,000
  $5,000 / $20,000
  $7,500 / $25,000
  $10,000 / Other: _______________

☐ Requesting Non-Owned Auto Liability (supplement required):

  Non-Owned Auto Liability Limits
  $100,000
  $200,000
  $250,000
  Other: _______________

*Requested coverage may or may not be offered please review any quote issued for actual terms and conditions available. Completion of this application neither binds coverage nor guarantees that policy will be issued.
APPLICATION FOR CLINICS (Medical, Dental, Public Health)

Instructions to the Applicant – please complete this application in ink and answer all questions completely. Attach extra sheets as necessary should you run out of space provided. An incomplete or illegible application cannot be processed. Completion of this application neither binds coverage nor guarantees that a policy will be issued.

- Provide a fully completed application, signed and dated by the owner, partner, or officer not earlier than 45 days before the proposed effective date of coverage.
- If a question is not applicable, then state “N/A”.
- The following information must be submitted with the completed application:
  - Copy of your current professional liability insurance Declarations Page (claims made policies must reflect the retroactive date)
  - Copy of all advertising that you use
  - 5-year company loss runs, valued within the last 60 days

GENERAL INFORMATION

1. Full name of Applicant (Including DBA’s) __________________________________________

2. Mailing Address:_________________________________________________________________

3. Location Address: Check here if same as mailing: ☐

(1) ________________________________________________________________________________

(2) ________________________________________________________________________________

(3) ________________________________________________________________________________

(4) ________________________________________________________________________________

4. Website Address: www.__________________________________________

5. Telephone: ______________________

6. Inspection/Risk Management Contact Name: ________________________________________

7. Inspection/Risk Management Contact E-mail: ________________________________________

8. Date Established _____________________    Years under current management _____________

9. Applicant is a:

- Individual
- Corporation
- LLC
- Professional Associations
- Partnership
- Joint Venture
- Other: __________________________________________

10. Enterprise is: ☐ For Profit    ☐ Not For Profit
11. Is this entity owned by, associated with or controlled by any other entity?  
   Yes ☐ No ☐

   If yes, please provide details:

___________________________________________________________________________________________
___________________________________________________________________________________________

OPERATIONS

12. Please check the category which best describes your organization

- ☐ Health and Wellness Center
  Center or clinics established for primarily walk-in patients for basic health and health-related services. Primary care providers predominantly RNs or LPNs, NPs, and physician assistants. Facilities in this category would include free clinics open to the public or those provided for students/faculty of schools, colleges, universities.

- ☐ Primary Care Clinic
  Majority of patient visits are scheduled preventative health services. This category can also include extended hours walk-in clinics where urgent care services are not the primary services provided by your organization. Your regular office hours have been extended to include the addition of walk-in care services. Primary care givers during these hours could include physicians or mid-level providers, although physicians are available during the extended hours.

- ☐ Urgent Care Center
  Urgent care services are the primary activities performed by your organization. Physicians regularly staff your locations with the support of mid-level providers. Services provided are sometimes broader in scope than those typically found in a physician’s office. Locations may offer a range of services including physical therapy, occupational therapy, occupational health (Workers Compensation exams), on site x-ray and clinical lab.

- ☐ Emergi-Center
  High level of acuity and may include minor invasive procedures such as those provided in emergency care centers/emergency rooms. Services would also include high level treatment for trauma or severe illness and crisis stabilization. Treatments may require moderate to high levels of anesthesia

- ☐ Other
  Please provide a description of your organization if it does not readily reflect one of the above categories.

___________________________________________________________________________________________
___________________________________________________________________________________________

13. Please list all accreditations and association memberships held by the applicant’s facility (Joint Commission, AAAHC, etc):

___________________________________________________________________________________________
___________________________________________________________________________________________

14. Days and Hours of Operation: ________________________________________

15. Please state sources and amounts of total revenue:

<table>
<thead>
<tr>
<th>Source</th>
<th>Last 12 months</th>
<th>Next 12 months</th>
</tr>
</thead>
<tbody>
<tr>
<td>Charitable contributions</td>
<td>$_____________</td>
<td>$_____________</td>
</tr>
<tr>
<td>Government Funding</td>
<td>$_____________</td>
<td>$_____________</td>
</tr>
<tr>
<td>Fee for services</td>
<td>$_____________</td>
<td>$_____________</td>
</tr>
<tr>
<td>Other – specify:</td>
<td>$_____________</td>
<td>$_____________</td>
</tr>
<tr>
<td><strong>TOTAL GROSS REVENUES</strong></td>
<td>$_____________</td>
<td>$_____________</td>
</tr>
</tbody>
</table>
16. Please indicate number of patient visits:

<table>
<thead>
<tr>
<th>Past 12 Months</th>
<th>Estimated Next 12 Months</th>
</tr>
</thead>
<tbody>
<tr>
<td>Emergency Visits</td>
<td>_______________</td>
</tr>
<tr>
<td>Urgent Care visits</td>
<td>_______________</td>
</tr>
<tr>
<td>Health/Wellness Visits</td>
<td>_______________</td>
</tr>
<tr>
<td>Other:</td>
<td>_______________</td>
</tr>
<tr>
<td><strong>TOTAL VIST S</strong></td>
<td>_______________</td>
</tr>
</tbody>
</table>

17. If your facility offers any of the following services on site please provide the number of tests, prescriptions, or imaging studies respectively performed:

<table>
<thead>
<tr>
<th>Past 12 Months</th>
<th>Estimated next 12 Months</th>
</tr>
</thead>
<tbody>
<tr>
<td>X-ray/Imaging</td>
<td>_______________</td>
</tr>
<tr>
<td>Pharmacy</td>
<td>_______________</td>
</tr>
<tr>
<td>Laboratory</td>
<td>_______________</td>
</tr>
</tbody>
</table>

Are any of these services offered to individuals who are not your facility’s primary patient?  

☐ YES  ☐ NO  ☐ N/A

18. Please indicate percentage of patients among the following:

_____ % Urgent Care  
_____ % Emergency Care  
_____ % General Practice / Family Practice  
_____ % Dialysis  
_____ % Occupational Health  
_____ % Students  
_____ % Surgical  
_____ % Other (please describe)  

19. Does the applicant maintain any beds for overnight occupancy?  
If yes, please provide total number ________  

☐ YES  ☐ NO

20. Is anesthesia administered by the applicant, the applicant’s employees or independent contractors other than topical or local?  
If yes please provide a detail explanation on page 6  

☐ YES  ☐ NO

21. Does the applicant’s employees or independent contractors perform any prenatal care or obstetrical procedures?  
If yes, please provide details on page 6  

☐ YES  ☐ NO

22. Does the applicant, employees, or independent contractors use drugs for weight reduction?  
If yes, attach list of drugs used and percentage of practice devoted to weight reduction; frequency and duration of prescriptions or weight reduction drugs and quantity dispensed.  

☐ YES  ☐ NO

23. Does the applicant perform laser hair removal, botox injections or dermal filler injections?  
If yes, please complete medical spa supplement.  

☐ YES  ☐ NO

24. Does the applicant perform any psychiatric shock therapy?  

☐ YES  ☐ NO

25. Does the applicant perform any chelation therapy services?  

☐ YES  ☐ NO

26. Does the applicant administer any methadone treatment?  
If yes, provide the number of treatments:  
Last 12 Months ________  
Next 12 Months ________  

☐ YES  ☐ NO

27. Does the applicant maintain written documentation of procedures for patient intake and follow-up?  

☐ YES  ☐ NO

28. Please provide name and location of any hospital or medical facility that the applicant refers in practice.
29. Please indicate the number of employed and contracted staff:

<table>
<thead>
<tr>
<th>Number Employed?</th>
<th>Number Contracted</th>
<th>Insured Elsewhere?</th>
<th>Coverage Desired?</th>
</tr>
</thead>
<tbody>
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</tr>
</tbody>
</table>

| Acupuncturists   | □ YES □ NO | □ YES □ NO | □ YES □ NO |
| Chiropractors*   | □ YES □ NO | □ YES □ NO | □ YES □ NO |
| Dentists*        | □ YES □ NO | □ YES □ NO | □ YES □ NO |
| Inhalation/ Respiratory Therapists | □ YES □ NO | □ YES □ NO | □ YES □ NO |
| Laboratory Technicians | □ YES □ NO | □ YES □ NO | □ YES □ NO |
| Licensed Practical Nurses | □ YES □ NO | □ YES □ NO | □ YES □ NO |
| Nurse Anesthetists | □ YES □ NO | □ YES □ NO | □ YES □ NO |
| Nurse Midwives*  | □ YES □ NO | □ YES □ NO | □ YES □ NO |
| Nurse Practitioner | □ YES □ NO | □ YES □ NO | □ YES □ NO |
| Opticians        | □ YES □ NO | □ YES □ NO | □ YES □ NO |
| Optometrists     | □ YES □ NO | □ YES □ NO | □ YES □ NO |
| Paramedics/ EMT’s | □ YES □ NO | □ YES □ NO | □ YES □ NO |
| Perfusionists    | □ YES □ NO | □ YES □ NO | □ YES □ NO |
| Pharmacists      | □ YES □ NO | □ YES □ NO | □ YES □ NO |
| Physician Assistant | □ YES □ NO | □ YES □ NO | □ YES □ NO |
| Physicians – Major Surgery* | □ YES □ NO | □ YES □ NO | □ YES □ NO |
| Physicians – Minor surgery* | □ YES □ NO | □ YES □ NO | □ YES □ NO |
| Physicians – No surgery* | □ YES □ NO | □ YES □ NO | □ YES □ NO |
| Physicians – OBGYN* | □ YES □ NO | □ YES □ NO | □ YES □ NO |
| Physiotherapists | □ YES □ NO | □ YES □ NO | □ YES □ NO |
| Registered Nurses | □ YES □ NO | □ YES □ NO | □ YES □ NO |
| Social Workers   | □ YES □ NO | □ YES □ NO | □ YES □ NO |
| Speech Therapists | □ YES □ NO | □ YES □ NO | □ YES □ NO |
| X-ray Technicians | □ YES □ NO | □ YES □ NO | □ YES □ NO |
| Other: Specify   | □ YES □ NO | □ YES □ NO | □ YES □ NO |

* Additional applications required if coverage is desired

30. Please provide the name and specialty of the applicant’s Medical Director: ___________________________

Does the applicant’s Medical Director have direct patient care? □ YES □ NO

31. Are all above individuals licensed in accordance with applicable state and federal regulations? □ YES □ NO

32. Do you require contracted staff to carry their own professional liability insurance? □ YES □ NO

If yes, what limits do they carry? ___________________________

33. Do all physicians (employed and contracted) carry their own professional liability coverage? □ YES □ NO

If yes, what limits do they carry? ___________________________

34. Please indicate all of the hiring/screening procedures used for professionals and paraprofessionals who provide patient care services at your facility:

- □ Check of educational background, or residency program, when applicable.
- □ Check of previous employers (□ In writing □ By Telephone)
- □ Criminal background check (□ STATE □ FEDERAL)
- □ Drug / Alcohol / Abuse Screening (circle all that are used)
- □ Verify any pending license suspensions or revocations, or any pending disciplinary actions by other facilities.
- □ Require information on any professional liability or work-related claim that has previously been made against any Individual?

35. Does your facility have written job descriptions? □ YES □ NO
### COVERAGE HISTORY AND LOSS HISTORY

36. Please list professional liability insurance carried for each of the past five years.

<table>
<thead>
<tr>
<th>Insurer</th>
<th>Dates covered</th>
<th>Limits of Liability</th>
<th>Deductible</th>
<th>Premium</th>
<th>Retroactive date</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Per claim/ Aggregate</td>
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</tbody>
</table>

37. If the applicant is currently insured under a commercial general liability policy please list coverage for the past five years.

<table>
<thead>
<tr>
<th>Insurer</th>
<th>Dates covered</th>
<th>Limits of Liability</th>
<th>Deductible</th>
<th>Premium</th>
<th>Occurrence or Claims Made</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Per claim/ Aggregate</td>
<td></td>
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</table>

If the current expiring GL policy is claims-made what is the retroactive date? ______________

Provide details for all “yes” answers to questions 37-42 on page 6 or attach additional pages as needed.

38. Has the applicant or any of its employees ever had any professional license or license to prescribe and or dispense narcotics ever been limited, suspended, revoked, denied, or investigated by any licensing board or regulatory agency? **Explain on page 7 or attach additional pages as needed**

39. Has the applicant or any of its employees ever been charged with, or convicted of a crime other than minor traffic violations? **Explain on page 7 or attach additional pages as needed**

40. Has the applicant or any of its employees ever been diagnosed or treated for alcoholism, drug addiction, any chemical dependency, or mental or chronic physical illness? **Explain on page 7 or attach additional pages as needed**

41. Has any claim or suit for malpractice or professional liability ever been made against the applicant OR any other person proposed for this insurance? **How Many? ____** (Complete Supplemental Claims form for Each)

42. Is the Applicant or any person proposed for this insurance aware of any act, error, omission, fact, circumstance, or records request from any attorney which may result in a malpractice claim or suit? **If yes, please explain in detail, completing a supplemental claim form for each.**

43. Has any claim or suit for malpractice ever been made against the Applicant or any person proposed for this insurance that has not been reported to the Applicant’s current or prior insurer? **If yes, please explain in detail, completing a supplemental claim form for each.**
44. Building Description

<table>
<thead>
<tr>
<th>Buildings/Wings</th>
<th>#1</th>
<th>#2</th>
<th>#3</th>
<th>#4</th>
</tr>
</thead>
<tbody>
<tr>
<td>Type of Construction:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>No. of Stories:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Square Footage</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Date Built:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Smoke detectors:</td>
<td>□ Yes □ No</td>
<td>□ Yes □ No</td>
<td>□ Yes □ No</td>
<td>□ Yes □ No</td>
</tr>
<tr>
<td>Local/Central station fire alarm:</td>
<td>□ Yes □ No</td>
<td>□ Yes □ No</td>
<td>□ Yes □ No</td>
<td>□ Yes □ No</td>
</tr>
<tr>
<td>Sprinkler System:</td>
<td>□ Yes □ No □ Partial</td>
<td>□ Yes □ No □ Partial</td>
<td>□ Yes □ No □ Partial</td>
<td>□ Yes □ No □ Partial</td>
</tr>
</tbody>
</table>

45. Do any of the Applicant’s locations have any (explain any “yes” answers on page 6):
   a. Exposure to flammables, explosive, chemicals? □ YES □ NO
   b. Catastrophe exposure? □ YES □ NO
   c. Exposure to radioactive materials? □ YES □ NO

46. Has any claim for General Liability ever been made against any person(s) or entity(ies) proposed for this insurance? If Yes, complete a supplemental claims form for each. □ YES □ NO

47. Is (are) any person(s) or entity(ies) proposed for this insurance aware of any fact, circumstance or situation which may result in a General Liability claim, such that would fall under the proposed insurance? If Yes, answer complete supplemental claims form for each. □ YES □ NO

SUPPLEMENTAL INFORMATION Use the remainder of this page as needed or to address questions referenced within the application

Page 7 of 14
NOTICE TO OHIO APPLICANTS: In some states, any person who knowingly, and with intent to defraud any insurance company or other person, files an application for insurance or statement of claim containing any materially false information, or, for the purpose of misleading, conceals information concerning any fact material thereto, may commit a fraudulent insurance act which is a crime in many states.

NOTICE TO VIRGINIA APPLICANTS: It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties may include imprisonment, fines, denial of insurance and civil damages. Any insurance company or agent of an insurance company who knowingly provides false, incomplete or misleading facts or information to a policy holder or claimant for the purpose of defrauding or attempting to defraud the policyholder or claiming with regard to a settlement or award payable for insurance proceeds shall be reported to the Virginia Department of Insurance.

NOTICE TO TENNESSEE APPLICANTS: Any person who knowingly and with intent to defraud an insurance company or other person files an application for insurance or statement of claim containing any materially false information, or conceals, for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime.

NOTICE TO OKLAHOMA APPLICANTS: WARNING: Any person who knowingly, and with intent to injure, defraud or deceive any insurance company files a statement of claim containing any false, incomplete or misleading information is guilty of a felony of the third degree.

NOTICE TO PENNSYLVANIA APPLICANTS: Any person who knowingly and with intent to defraud any insurance company or other person, files an application for insurance or statement of a claim containing any materially false information or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act which is a crime in many states.

NOTICE TO NEW YORK APPLICANTS: Any person who knowingly and with intent to injure, defraud or deceive any insurer, makes a any claim for the proceeds of an insurance policy containing any false, incomplete or misleading information is guilty of a crime, and may be subject to fines and confinement in prison.

NOTICE TO NEW JERSEY APPLICANTS: Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

NOTICE TO FLORIDA APPLICANTS: Any person who knowingly and with intent to injure, defraud or deceive any insurance company files a statement of claim containing any false, incomplete or misleading information is guilty of a felony of the third degree.

NOTICE TO HAWAII APPLICANTS: For your protection, Hawaii law requires you to be informed that presenting a fraudulent claim for payment of a loss or benefit is a crime punishable by fines or imprisonment, or both.

NOTICE TO KENTUCKY APPLICANTS: Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance containing any materially false information or conceals, for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime.

NOTICE TO LOUISIANA APPLICANTS: Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

NOTICE TO MAINE APPLICANTS: It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties may include imprisonment, fines, or denial of insurance benefits.

NOTICE TO NEVADA APPLICANTS: Any person who knowingly and with intent to defraud an insurance company or other person files an application for insurance or statement of claim containing any materially false information, or conceals, for the purpose of misleading, information concerning any fact material thereto, may be subject to criminal or civil penalties.

NOTICE TO NEW MEXICO APPLICANTS: Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to civil fines and criminal penalties.

NOTICE TO DISTRICT OF COLUMBIA APPLICANTS: WARNING: It is a crime to provide false or misleading information to an insurer for the purpose of defrauding the insurer or any other person. Penalties include imprisonment and/or fines. In addition, an insurer may deny insurance benefits if false information materially related to a claim was provided by the applicant.

NOTICE TO COLORADO APPLICANTS: It is unlawful to knowingly provide false, incomplete or misleading facts or information to an insurance company for the purpose of defrauding or attempting to defraud the company. Penalties may include imprisonment, fines, denial of insurance and civil damages. Any insurance company or agent of an insurance company who knowingly provides false, incomplete or misleading facts or information to a policy holder or claimant for the purpose of defrauding or attempting to defraud the policyholder or claiming with regard to a settlement or award payable for insurance proceeds shall be reported to the Colorado Division of Insurance within the Department of Regulatory Agencies.

NOTICE TO DISTRICT OF COLUMBIA APPLICANTS: WARNING: It is a crime to provide false or misleading information to an insurer for the purpose of defrauding the insurer or any other person. Penalties include imprisonment and/or fines. In addition, an insurer may deny insurance benefits if false information materially related to a claim was provided by the applicant.

NOTICE TO OHIO APPLICANTS: Any person who, with intent to defraud or knowing that he/she is facilitating a fraud against an insurer, submits an application or files a claim containing a false or deceptive statement is guilty of insurance fraud.

NOTICE TO OKLAHOMA APPLICANTS: WARNING: Any person who knowingly, and with intent to injure, defraud or deceive any insurer, makes a any claim for the proceeds of an insurance policy containing any false, incomplete or misleading information is guilty of a felony.

NOTICE TO PENNSYLVANIA APPLICANTS: Any person who knowingly and with intent to defraud any insurance company, or other person, files an application for insurance or statement of a claim containing any materially false information or conceals for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime and subjects the person to criminal and civil penalties.

NOTICE TO TENNESSEE APPLICANTS: It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties include imprisonment, fines and denial of insurance benefits.

NOTICE TO VIRGINIA APPLICANTS: It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties include imprisonment, fines and denial of insurance benefits.
The Applicant acknowledges that the answers provided herein are based on a reasonable inquiry and/or investigation. The Applicant warrants that the above statements and particulars together with any attached or appended documents are true and complete and do not misrepresent, misstate or omit any material facts.

The Applicant agrees to notify us of any material changes in the answers to the questions on this questionnaire which may arise prior to the effective date of any policy issued pursuant to this questionnaire and the Applicant understands that any outstanding quotations may be modified or withdrawn based upon such changes at our sole discretion.

Completion of this form does not bind coverage. Applicant’s acceptance of the company’s quotation is required prior to binding coverage and policy issuance.

All written statements and materials furnished to the company in conjunction with this application are hereby incorporated by reference into this application and made a part of this application.

Applicant: ___________________________  Title: ___________________________

FEIN #: ______________________________

Applicant’s Signature: ___________________  Date: __________________________

Agent / Broker Name: ____________________________________________
SUPPLEMENTAL CLAIM / INCIDENT INFORMATION

If reporting more than one claim or incident, please photocopy and complete a separate form for each. Attach additional sheets if necessary for adequate explanation. All questions must be answered or marked Not Applicable (N/A), and each sheet must be signed.

Name of Patient:_________________________________________ Age:______ Sex:_______
Incident ☐ Claim ☐
Date reported to insurance company: ______________
Name of insurance company: ____________________________
Date of incident and your treatment: __________________________________________________________
Allegations / Circumstances:  ___________________________________________________________________
___________________________________________________________________________________________
___________________________________________________________________________________________
___________________________________________________________________________________________
Additional Defendants:  _______________________________________________________________________
What is the present condition of the patient?______________________________________________________
___________________________________________________________________________________________

STATUS OF CLAIM
☐Suit threatened, no action taken
☐Suit filed but dropped by claimant
☐Summary judgment in your favor
☐Suit settled out of court
  a. Date claim paid: _____________
  b. Amount paid: $_____________
  c. Did you want to settle?  Amount of loss payment:
    ☐Yes  ☐No  $_____________________
Court outcome in your favor:  ☐Jury verdict
☐Directed verdict
Court outcome in favor of plaintiff:  ☐Jury verdict
☐Directed verdict
Amount of loss payment: $_____________
Unresolved/Open
☐Awaiting mediation
☐Awaiting court action
Reserve amount: $_________________

Name and address of the attorney assigned to your case: ____________________________________________
___________________________________________________________________________________________
To your knowledge, was any settlement paid by another party involved (i.e., your P.A., P.C., partners, employees, etc.)?
☐Yes:  ☐No:  ☐
Explain in detail what action(s) you have taken to prevent recurrence of this type of claim:
___________________________________________________________________________________________
___________________________________________________________________________________________
___________________________________________________________________________________________

Signature:  ___________________________ Date:_____________________
Printed Name:  ___________________________
MEDICAL SPA SUPPLEMENT
Clinic Application MUST also be completed

Instructions to the Applicant – please complete this application in ink and answer all questions completely. Attach extra sheets as necessary should you run out of space provided. An incomplete or illegible application cannot be processed. Completion of this application neither binds coverage nor guarantees that a policy will be issued.

GENERAL INFORMATION AND OPERATIONS

1. Full name of Applicant (Including DBA's) _________________________________________________________________

2. Applicant’s practice is run by:
   - [ ] Nurse
   - [ ] Nurse Practitioner
   - [ ] Physician Assistant
   - [ ] Dentist
   - [ ] Other Individual: ________________________
   - [ ] Physician (specify type)
     - [ ] Dermatologist
     - [ ] Plastic Surgeon
     - [ ] Other ________________________

3. Percentage of clients or patients within the following categories?
   - Beauty Shop (nails, hair, facial) ______%  
   - Weight Control ______%
   - Massage ______%  
   - Dental (to include teeth whitening) ______% 
   - Hormone Therapy ______%  
   - Surgical /Plastic Surgery ______% 
   - Dermatology (to include acne treatment) ______%  
   - Medical Spa ______% 
   - Fitness Club / Yoga or Exercise Classes ______% 


5. Do you require ALL patients to sign an Informed Consent form prior to any procedure being performed?  
   If Yes, please attach copies of patient informed consents. If No, please explain.
   Yes [ ] No [ ]

6. If any clients are under the age of 18 – do you require parent/guardian signatures on Informed Consents? Please indicate all procedures performed on clients under the age of 18 if applicable:
   Yes [ ] No [ ] N/A [ ]

7. Do you sell any products with the facility’s name and/or label on them?  
   If yes, attach complete product list and indicate corresponding annual sales.
   Yes [ ] No [ ]

8. Do you sell any dietary supplements or prescribe any weight loss medication?  If yes, identify brand names:
   Yes [ ] No [ ]

9. Do you ever hold off-site events? If yes, please describe:
   Yes [ ] No [ ]

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10. Are any daycare or childcare services offered to your clients?  
   Yes ☐ No ☐

11. Are any alcoholic beverages sold and or served on premises? Please elaborate if yes:  
   ____________________________________________________________  
   ____________________________________________________________  
   Yes ☐ No ☐

12. Please indicate if any of the following are on your premises – indicate here if “none” ☐
   ☐ Swimming Pool  
   ☐ Sauna  
   ☐ Steam Room  
   ☐ Whirlpool Type Spa/Tub  
   ☐ Tanning Booths (Number? _____)

13. SERVICES:

   PROCEDURES PERFORMED AND PERFORMED BY:  
   (Check All that Apply also indicating any additional staff that may be performing the procedure)
   
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OTHER PROCEDURES NOT NOTED ABOVE (Continue to specify individual performing)
   ____________________________________________________________  
   ____________________________________________________________  
   ____________________________________________________________
14. Have all staff performing procedures noted on the previous page received a minimum of 8 hours training specific to the indicated procedure including anatomy, physiology, technique, potential complications, appropriate responses to complications, and hands-on performance of at least one procedure on a live patient? Please attach evidence of training for aesthetic procedures noted.

Yes ☐ No ☐

15. Does the applicant or staff utilize or perform any procedures, drugs, or equipment that is not approved for use by the FDA? If yes, please explain:

________________________________________________________________________________
________________________________________________________________________________
________________________________________________________________________________

Yes ☐ No ☐

16. Does the applicant or staff engage in any off label use of otherwise FDA approved procedures, drugs, or equipment? If yes, please explain:

________________________________________________________________________________
________________________________________________________________________________
________________________________________________________________________________

Yes ☐ No ☐

FRAUD WARNING

NOTICE TO ALABAMA, ALASKA, ARIZONA, ARKANSAS, CALIFORNIA, CONNECTICUT, DELAWARE, GEORGIA, IDAHO, ILLINOIS, INDIANA, IOWA, KANSAS, MARYLAND, MASSACHUSETTS, MICHIGAN, MINNESOTA, MISSISSIPPI, MISSOURI, MONTANA, NEBRASKA, NEVADA, NEW HAMPSHIRE, NEW JERSEY, NORTH CAROLINA, NORTH DAKOTA, OREGON, RHODE ISLAND, SOUTH CAROLINA, SOUTH DAKOTA, TEXAS, UTAH, VERMONT, WASHINGTON, WEST VIRGINIA, WISCONSIN, AND WYOMING APPLICANTS: In some states, any person who knowingly, and with intent to defraud any insurance company or other person, files an application for insurance or statement of claim containing any materially false information, or, for the purpose of misleading, conceals information concerning any fact material thereto, may commit a fraudulent insurance act which is a crime in many states.

NOTICE TO COLORADO APPLICANTS: It is unlawful to knowingly provide false, incomplete or misleading facts or information to an insurance company for the purpose of defrauding or attempting to defraud the company. Penalties may include imprisonment, fines, denial of insurance and civil damages. Any insurance company or agent of an insurance company who knowingly provides false, incomplete or misleading facts or information to a policy holder or claimant for the purpose of defrauding or attempting to defraud the policyholder or claiming with regard to a settlement or award payable for insurance proceeds shall be reported to the Colorado Division of Insurance within the Department of Regulatory Agencies.

NOTICE TO DISTRICT OF COLUMBIA APPLICANTS: WARNING: It is a crime to provide false or misleading information to an insurer for the purpose of defrauding the insurer or any other person. Penalties include imprisonment and/or fines. In addition, an insurer may deny insurance benefits if false information materially related to a claim was provided by the applicant.

NOTICE TO FLORIDA APPLICANTS: Any person who knowingly and with intent to injure, defraud or deceive any insurance company files a statement of claim containing any false, incomplete or misleading information is guilty of a felony of the third degree.

NOTICE TO HAWAII APPLICANTS: For your protection, Hawaii law requires you to be informed that presenting a fraudulent claim for payment of a loss or benefit is a crime punishable by fines or imprisonment, or both.

NOTICE TO KENTUCKY APPLICANTS: Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance containing any materially false information or conceals, for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime.

NOTICE TO LOUISIANA APPLICANTS: Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

NOTICE TO MAINE APPLICANTS: It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties may include imprisonment, fines, or denial of insurance benefits.

NOTICE TO NEW JERSEY APPLICANTS: Any person who includes any false or misleading information on an application for an insurance policy is subject to criminal and civil penalties.

NOTICE TO NEW MEXICO APPLICANTS: Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to civil fines and criminal penalties.
NOTICE TO NEW YORK APPLICANTS: Any person who knowingly and with intent to defraud an insurance company or other person files an application for insurance or statement of claim containing any materially false information, or conceals, for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime and shall also be subject to a civil penalty not to exceed $5,000 and the stated value of the claim for each such violation.

NOTICE TO OHIO APPLICANTS: Any person who, with intent to defraud or knowing that he/she is facilitating a fraud against an insurer, submits an application or files a claim containing a false or deceptive statement is guilty of insurance fraud.

NOTICE TO OKLAHOMA APPLICANTS: WARNING: Any person who knowingly, and with intent to injure, defraud or deceive any insurer, makes a any claim for the proceeds of an insurance policy containing any false, incomplete or misleading information is guilty of a felony.

NOTICE TO PENNSYLVANIA APPLICANTS: Any person who knowingly and with intent to defraud any insurance company, or other person, files an application for insurance or statement of a claim containing any materially false information or conceals for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime and subjects the person to criminal and civil penalties.

NOTICE TO TENNESSEE APPLICANTS: It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties include imprisonment, fines and denial of insurance benefits.

NOTICE TO VIRGINIA APPLICANTS: It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties include imprisonment, fines and denial of insurance benefits.

The Applicant acknowledges that the answers provided herein are based on a reasonable inquiry and/or investigation. The Applicant warrants that the above statements and particulars together with any attached or appended documents are true and complete and do not misrepresent, misstate or omit any material facts.

The Applicant agrees to notify us of any material changes in the answers to the questions on this questionnaire which may arise prior to the effective date of any policy issued pursuant to this questionnaire and the Applicant understands that any outstanding quotations may be modified or withdrawn based upon such changes at our sole discretion.

Completion of this form does not bind coverage. Applicant’s acceptance of the company’s quotation is required prior to binding coverage and policy issuance.

All written statements and materials furnished to the company in conjunction with this application are hereby incorporated by reference into this application and made a part of this application.

Applicant: _______________________________ Title: _______________________________

FEIN #: _______________________________

Applicant’s Signature: __________________ Date: _______________________________

Agent / Broker Name: __________________________________________________________